Query Rewriting for Semistructured Data

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Abstract

We address the problem of query rewriting for TSL, a language for querying semistructured data. We develop and present an algorithm that, given a semistructured query q and a set of semistructured views $\mathcal{V}$, finds *rewriting* queries, i.e., queries that access the views and produce the same result as q. Our algorithm is based on appropriately generalizing *containment mappings*, the *chase*, and *query composition* – techniques that were developed for structured, relational data. We also develop an algorithm for equivalence checking of TSL queries.

We show that the algorithm is sound and complete for TSL, i.e., it always finds every non-trivial TSL rewriting query of q, and we discuss its complexity. We extend the rewriting algorithm to use some forms of structural constraints (such as DTDs) and find more opportunities for query rewriting.

1 Introduction

Recently, many semistructured data models, query and view definition languages have been proposed [34, 13] and are used for querying and management of Web data [11, 1, 27], biological databases [35], integration of heterogeneous data [15], etc.

Semistructured models are necessary because of the flexible nature of non-database information systems. In particular, semistructured models are useful in the context of Web-based sources; Web data very often have irregular, partial or only implicit structure. The semistructured model XML [2] is emerging as the new standard for the modeling and exchange of Web data.

As it has been the case in the relational world, rewriting of semistructured queries using views is a fundamental query processing and optimization tool for semistructured queries. In this section we first present an abstract version of the rewriting problem and consequently we describe its applications, including a rewriter that was built for the TSIMMIS system [15].

The Rewriting Problem At a sufficient level of abstraction the rewriting problem faced by the applications listed below is as follows: Given a query q accessing a semistructured database[1] D and a set of views $\mathcal{V} = \{V_1, \ldots, V_n\}$ over D, find *rewriting* queries, where a rewriting query of q given $\mathcal{V}$ is a query that accesses at least one view of $\mathcal{V}$ and returns the same result as q.[2] If the rewriting query uses views only (i.e., it does not access directly the database D) then it is called a *total rewriting* query.

Applications of Rewriting Algorithms Semistructured models have been used by repositories that store semistructured data [26] and by mediators that integrate heterogeneous information [30, 11]. The importance of rewriting algorithms in mediators and repositories of relational systems, as described below, is a witness to the many applications they will have in the semistructured world.

1. Relational query rewriting algorithms are used for answering queries using materialized views [38] and the query cache [19].

2. Views have been used in mediator systems to describe the source contents [21]. Furthermore, the different and limited query capabilities of the sources are often described by "views" where the constants

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[1] The database may be distributed over multiple sites.
[2] We formalize the concept of "same result" and the definition of a rewriting query in Section 3.

are parameterized. For example, the parameterized view `SELECT * FROM R WHERE R.A=$X`, where `R` resides at source S, declares that S can answer queries that pick all attributes of `R` and have `R.A` be bound to a constant. Then a query over the source data has to be rewritten to use correctly the contents and capabilities of the sources, i.e., to correctly use the available views [22, 17, 37]. Indeed, in that case the query has to access *only* views and hence we need a total rewriting query.

The above points highlight the importance of rewriting algorithms in relational databases and mediators. We believe that rewriting algorithms will be equally important for semistructured databases and mediators.
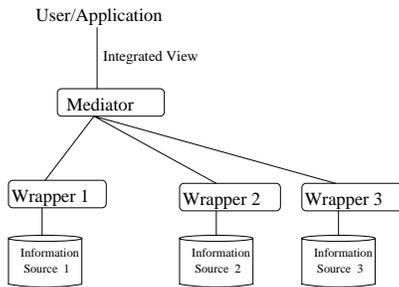

Figure 1: The TSIMMIS integration architecture

Rewriting in the TSIMMIS System: Capability-Based Rewriting and Cached Queries A TSIMMIS mediator integrates semistructured data from multiple heterogeneous information sources into a virtual view V_m — not to be confused with the views used by the rewriting algorithm. The general integration architecture is shown in Figure 1.
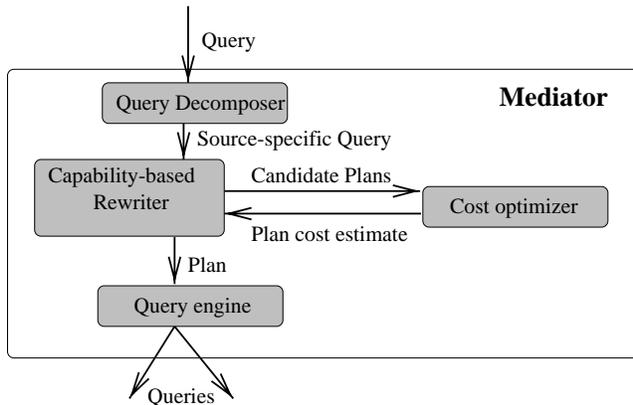

Figure 2: Mediator architecture

For example, a bibliographic mediator may combine the data of multiple bibliographic sources into a single "union" view. At run time, given a user query, the mediator decomposes it into multiple queries which refer to the source data. However, these bibliographic sources are accessible through interfaces that have varying query capabilities; the queries emitted by the mediator must conform to these capabilities. Let us further illustrate this issue using an example.

The user query requests all "SIGMOD 97" publications. Then the mediator will decompose the user query into multiple "SIGMOD 97" queries where each one of them is source-specific, i.e., it refers to one source only (see Figure 2). To do the decomposition correctly and efficiently the mediator must figure out, using the capabilities of the underlying sources, how to extract the necessary information from the sources. This decision is made by the Capability-Based Rewriter (CBR) module. In our running example, if one source only supports queries on "year", the CBR will decide that a query that retrieves the "97" publications will be sent to this source. The rest, i.e., filtering for "SIGMOD", will be done at the mediator. After such decisions are made, and the mediator formulates a query plan that respects the query capabilities of the sources, each query is sent to a wrapper, where it is translated into the native query language of the corresponding source. Then the individual query results, namely the "SIGMOD 97" publications each source contains, are collected, the information about each of them is appropriately consolidated into one entity by the mediator and the combined result is presented to the user.

The TSIMMIS system uses parametrized views to describe query capabilities. The mediator employs a version of our rewriting algorithm to accomplish its task [25]. Note that the existence of parameters in the views does not seriously affect the complexity of the problem [37]. The considerations introduced by parameters are also addressed in [25]. For presentation clarity we work in this paper with plain semistructured views - as opposed to parametrized ones.

Use of Rewriting in semistructured repositories
Our rewriting algorithm can be used to answer queries using materialized views and cached queries of repositories for semistructured data, such as Lore [26].

For example, if a cached query result contains all "SIGMOD" publications, our rewriting algorithm can create a rewriting query where "SIGMOD 97" publications are obtained by filtering the cached query for "1997" publications. The rewriting algorithm only needs the query and the cached query statements - it does not need to examine the source data. The cached queries play in this case the role of views.[3]

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[3]Given the autonomy of the bibliographic sources and the mediator, the rewriting query may deliver a stale result to the

Materialized views and cached queries were the main original motivation for relational query rewriting [38], and we believe they are as important for semistructured databases. Indeed our algorithm is applicable to repositories of Web data stored using the XML [2] data model, which is very similar to our data model. The query language — TSL, for Tree Specification Language — that we are working with is very similar to recent proposals for an XML query language [8].

Use of Rewriting in Web site management and structured Web search Recent work [11] has applied concepts from information integration to the task of building complex Web sites that serve information derived from multiple data sources. In this scenario, a Web site is a declaratively-defined *site graph* over the semistructured *data graph* of the contents of the information sources. If we only have access to the information through the Web site(s), queries asked over the data graph need to be rewritten as queries over the Web site structure and contents. The Web site definitions are just view definitions over the data graph; the necessary query rewriting can thus be handled by our algorithm.

Results We propose an algorithm that solves the rewriting problem by outputting a finite set $\mathcal{Q}$ of rewriting queries, i.e., queries equivalent to q that have at least one condition referring to one of the views. Note that for every rewriting query q_r that does not appear in $\mathcal{Q}$ there is a "trivial" $q_r' \in \mathcal{Q}$ such that every view that is used by q_r' is also used by q_r. Under any reasonable cost model, q_r' will be at least as efficient as q_r (it will be more efficient if it uses strictly fewer views) and hence we do not include q_r in $\mathcal{Q}$. We will say that the algorithm returns *all* rewriting queries, though we actually mean that it returns a set of rewriting queries $\mathcal{Q}$ with the above properties.

The rewriting algorithm makes use of structural constraints on the source data. In particular, we consider constraints that can easily be expressed by standards such as the XML DTDs or the newly proposed XML-Data. The existence of such constraints allows us find rewritings in cases where, in the absence of constraints, the algorithm would fail.

The algorithm is based on extending containment mappings, the chase, and composition from the relational to the semistructured world. In doing so, we benefit from a vast body of knowledge on relational query rewriting. Furthermore, we obtain insight on how to in-
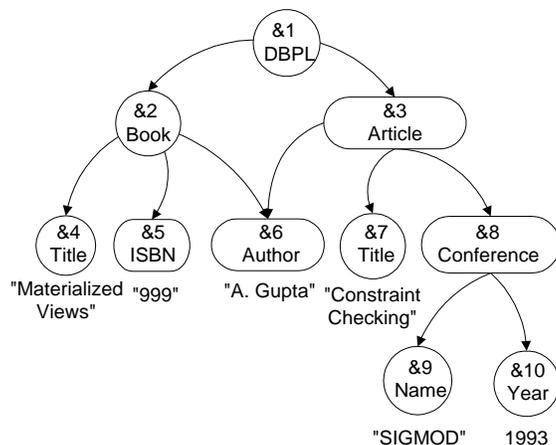

Figure 3: Example OEM objects

terface with the optimizer of the TSIMMIS system (see Figure 2).

Contents The following section introduces the OEM data model and our query language for semistructured data. Section 3 states the rewriting problem and describes our algorithm. Section 4 presents an algorithm for equivalence testing of TSL queries. Section 5 proves the correctness of our rewriting algorithm for TSL queries and views and discusses the complexity of the rewriting problem. Finally, Section 6 discusses related work and Section 7 offers some concluding remarks and discusses future work.

2 The OEM Data Model and the TSL Query Language

In the OEM data model, the data are represented as a rooted graph with labeled nodes (also called *objects*) that have unique object ids.

Figure 3 illustrates some bibliographic data represented in OEM. *Atomic* objects have an atomic value (e.g., SIGMOD) while the value of the other objects (called *set objects*) is the set of objects (not just object ids) pointed to by the outgoing edges. Notice that this definition is inherently recursive, since the value of an object is part of the object: the value of a set object o is essentially the OEM subgraph rooted at o.[4] The roots of the graph are illustrated as top level objects. They are the starting points for querying the sources. Note that we ignore objects that are not reachable from the roots of the graph.

The object ids are typically atomic data. In the general case they are terms from the Herbrand universe composed from

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user. This result may still be very useful to the user. Furthermore, if an update-propagation system is in place, it can account for the "deltas" between the cache and the sources [39]. In this paper we will not deal any further with these consistency issues. Instead we focus on the rewriting algorithm.

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[4]Excluding o itself.

- a set of atomic data, which includes but is not necessarily confined to, the atomic data appearing as labels and values and

- an arbitrary set of uninterpreted function symbols. For example, `f(&10, ashish)` is a possible object id, and the function symbol `f` "defines" the term.

Object ids may be symbols with no particular meaning, or they may have a semantic meaning. For example, if the object is a Web page then it is typically a good idea to have the URL be the object id. Furthermore, meaningful term object ids can facilitate the integration tasks.

Even though OEM can model data that can naturally be represented as an arbitrary graph, we expect that in many applications, especially those dealing with XML data, data will instead be naturally represented as a directed acyclic graph, or as a tree.

A TSL query is a *rule* that defines the query result using minimal model semantics. A rule consists of a *head* followed by a `:-` and a *body*, in the style of Datalog [36]. Intuitively, the head describes the result objects in the *answer graph*, whereas the body describes one or more conditions that must be satisfied by the source objects. The head and the body conditions are based on *object patterns* of the form <*object-id label value*>. The *value* field can be either a term (variable, atomic constant, or function symbol followed by a term list) or a *set* value pattern which contains zero or more object patterns. Terms that appear in an object id field in the *head* of a TSL query must be unique. This restriction forces TSL to produce fresh object ids for the objects in the query result. It also forces TSL to produce *answer trees* instead of arbitrary graphs as query results. We discuss removing this restriction (and the resulting language) in Section 6.

Semantics and power of TSL We illustrate the semantics with the following example.

```
(Q1) <f(P) female {<f(X) Y Z>}> :-
        <P person {<G gender female> <X Y Z>}>@db
```

The semantics of the above query are

if there is a tuple of *bindings* p, g, x, y and z for the variables `P`, `G`, `X`, `Y`, and `Z` such that
- the data source `db` contains a **person** top-level (root) object identified by p,
- the p object has a **gender** subobject with value **female** and object id g, and
- the p object has a y subobject with value z and object id x

%the object p may also have other subobjects
then the query result has

- a **female** object, with object id $\mathbf{f}(p)$,
- a y subobject of the $\mathbf{f}(p)$ object, with value z and object id $\mathbf{f}(x)$.

%the object $\mathbf{f}(p)$ may have subobjects other than y
%because the result of another rule may "fuse" more
% subobjects into the object $\mathbf{f}(p)$.

Note that z could be a subgraph of the data in `db`. The answer to query (Q1) is an object with a new, unique object id and the structure denoted by the query head. In general, a TSL query can construct answer objects that are tree restructurings of source data, hence we refer to the result of a TSL query as an *answer tree*. Because of the copying semantics of TSL, (e.g., z above could be a subgraph of the data), the query result can actually be a graph: a constructed tree with (perhaps cyclic) subgraphs potentially hanging off some branches. Note finally that a TSL query may refer to more than one data source, e.g., one condition may refer to `db1` and a second one to `db2`.

Formally, for an OEM database D, let P_D be the set of all subgraphs[5] of D, O be the set of all object ids in D, and C be the set of all labels and atomic values. Let V_O be the set of all object id variables[6] and V_C be the set of all other (label and value) variables, with $V_O \cap V_C = \emptyset$. Let $V = V_O \cup V_C$ be the set of all variables. The meaning of the query body is the set of assignments $\theta : V \to O \cup C \cup P_D$ that satisfy all conditions in the body. Each assignment maps object id variables to O, label variables to C and value variables to $C \cup P_D$.

The meaning of the query head is as follows. We create and label the new nodes of the answer tree, and make the top-level object pattern of the query the root of the answer tree. In particular, for each object pattern <$f(X_1, \ldots, X_m)$ L V>. in the query head, and for each assignment θ above, create a new object with object id $f(\theta(X_1), \ldots, \theta(X_m))$, label $\theta(L)$ and value $\theta(V)$. If instead of V, the object pattern above has $\{o_1 \ldots o_n\}$, the value of the created object is $\{\theta(o_1), \ldots \theta(o_n)\}$.

Notice that when two assignments produce the same term as the object id of an object, the same object is "returned", and the values of the two objects are "fused".

TSL can be translated to Datalog with function symbols and limited recursion over a fixed schema. It can be shown to be less expressive than StruQL and thus less expressive than linear datalog [11]. TSL queries can be computed in polylogarithmic parallel time with polynomially many processors (i.e., TSL $\subset$ QNC).

In the rest of this paper, we only consider positive TSL queries without cyclic object patterns in the

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[5]Remember that the value of a set object is essentially the OEM subgraph rooted at that object.

[6]object id variables are variables appearing in the object id field of object patterns.

body conditions (i.e., without object patterns that look for cycles in the OEM database). To simplify the presentation, we focus on normal form queries, defined next. Every TSL query can be easily converted into normal form, hence the focus on normal form does not limit the power of the language.

Definition: Normal Form TSL Queries are the TSL queries in whose body all set-valued *value* fields contain *at most* one object pattern. Additionally, a normal form query with just one condition in its body is called a *single path* query. □

The query (Q1) can be easily transformed into the following normal form query:

```
(Q2) <f(P) female {<f(X) Y Z>}> :-
        <P person {<G gender female>}>@db AND
        <P person {<X Y Z>}>@db
```

Safe TSL queries A TSL query is safe if every variable appearing in the query head also appears in the query body. Thus, the same simple syntactic test that is used by [36] to define safety of conjunctive queries can be used to define safety in TSL. In the remainder of this paper we are only discussing safe TSL queries.

TSL views are defined simply by TSL queries. Each view defines its own OEM database, with its own space of unique object ids. That can easily be accomplished for example by qualifying the object ids by the name of the view.

It is important to point out that TSL has features essential for querying and integrating semistructured data, namely the ability to query and copy arbitrarily nested schema-less data, the ability to restructure such data through the use of semantic object ids, and the ability to query the "structure" of the data through the use of label variables.

3 TSL Query Rewriting

Given a TSL query Q referring to an OEM database D and conjunctive views $\mathcal{V} = V_1, \ldots, V_n$, also referring to D, the rewriting problem is to find a TSL query Q' such that (i) Q' refers to at least one of $V_1, \ldots, V_n$ and (ii) for all OEM databases D, the result of Q is equivalent to the result of Q'. (See definition of equivalence below.)

We call Q' the *rewriting* query. In general, there may be more than one rewriting queries. We start our discussion with a straightforward definition of equivalence of OEM databases.

Equivalence of two OEM databases D_1 and D_2 Two OEM databases D_1 and D_2 are equivalent if they are *identical*, i.e., they have the same set of object ids and for every object id x the two objects identified by

x in D_1 and D_2 (i) have the same label l (ii) both of them have an atomic value or both of them have a set value (iii) if they are atomic objects they have the same atomic value v and (iv) if they are set objects they have identical sets of subobjects.

Apparently the above definition carries to equivalence of query results and views. It is possible to define OEM database equivalence up to object id renaming. We discuss this issue in Section 6.

3.1 Rewriting of Queries with Single Path Condition

We informally present an algorithm which decides whether a query Q having one single path condition can be rewritten using a single view V that has one or more path conditions. This algorithm, though a special case of the complete rewriting algorithm (see Section 3.4), illustrates the basic steps of our technique. The general algorithm is proven sound and complete for TSL and its complexity is studied in Section 5.

Step 1: Find Candidate Queries We first find mappings from the view to the condition and then we develop a candidate query for each mapping. Note that for the special case of queries with a single path condition there may be at most one mapping and consequently at most one candidate query.

Step 1A: Find Mappings Find, if it exists, the *mapping* from the body of V to the body of Q. Our mappings extend [7] to cope with object nesting. A formal definition can be found in [31]. If a mapping exists, then we can be sure that, if there is a variable binding that satisfies the body of Q, then there is also a binding that satisfies the body of V. Hence mappings are a necessary condition for the relevance of the view to the query condition. Furthermore, the mapping indicates which conditions of Q do not appear in V; these conditions will have to be checked by the rewriting query. Notice that there can be *at most* one mapping from the body of V to the one single path condition in the body of Q. However, in the general case (Section 3.4) we may have multiple mappings.

Example 3.1 Consider the view (V1), which restructures the **person** objects, labeled **p**, of **db** into objects that "group" their labels in **property** subobjects, labeled **pr** (for brevity) and their values in **value** subobjects, labeled **v**. Notice that (V1) "loses" information in the sense that it only shows the labels and values that appear in **db** but the label-value correspondence has disappeared. Queries such as (Q3), that ask whether the value

`leland` appears in the database, can be answered using the view (V1) because they do not need information on the label-value correspondence. The example shows how our algorithm finds a rewriting query for (Q3).

```
(V1) <g(P') p {<pp(P',Y') pr Y'>
                <h(X') v Z'>}> :-
                    <P' p {<X' Y' Z'>}>@db
(Q3) <f(P) stanford yes> :-
                    <P p {<X Y leland>}>@db
```

The only mapping from the body of (V1) to the body of (Q3) is (M2). Intuitively, (M2) indicates that the condition $Z' = $ `leland` must be enforced on the view in order to get objects relevant to the query.

$$(M2) [P' \mapsto P, X' \mapsto X, Y' \mapsto Y, Z' \mapsto \texttt{leland}]$$

$\square$

Step 1B: Generate Candidate Queries Apply the mapping to V, resulting in an "instantiation" of V, namely V'. Then build the rewriting query Q' as follows: The head of Q' is identical to the head of Q. The body of Q' is the head of V'.

Example 3.1 continued The only candidate rewriting query (Q4) is created from the head of (Q3) and the result of applying (M2) to the head of (V1).

```
(Q4) <f(P) stanford yes> :-
         <g(P) p {<pp(P,Y) pr Y>
                     <h(X) v leland>}>@V₁
```

Step 2: Test Correctness of Candidate Query

Check whether the composition of V and Q', denoted by $V \circ Q'$ is equivalent to Q. Step 2 is accomplished in two sub-steps:

Step 2A: Computation of Composition The composition $V \circ Q'$ of the rewriting query with the view is computed. We compute $V \circ Q'$ using a query-view composition algorithm based on extending resolution and unification for semistructured data. This algorithm in essence takes exponential time in the size of the query. The composition algorithm is illustrated using an example below. For a formal presentation, see [31].

Step 2B: Testing Equivalence of $V \circ Q'$, Q The general idea of equivalence testing is to find (1) a mapping that maps $V \circ Q'$ into Q, i.e., (i) it maps the head of $V \circ Q'$ into the head of Q and every condition of $V \circ Q'$ is mapped into a condition of Q

and (2) a mapping that maps Q into $V \circ Q'$. Note that the $V \circ Q'$ and Q have to be in normal form in order to test equivalence as described above.[7]

Example 3.1 continued We test whether (Q4) is a valid rewriting query by first transforming it into the normal form $(Q4)_n$, then composing it with (V1), and finally comparing the resulting query $(V1) \circ (Q4)_n$ to (Q3). Indeed, $(V1) \circ (Q4)_n$ *is* equivalent to (Q3) because (i) the mapping (M3) maps $(V1) \circ (Q4)_n$ to (Q3) and (ii) the mapping (M4) maps (Q3) to $(V1) \circ (Q4)_n$.

```
(Q4)ₙ <f(P) stanford yes> :-
          <g(P) p {<pp(P,Y) pr Y>}> AND
          <g(P) p {<h(X) v leland>}>
(V1)∘(Q4)ₙ <f(P) stanford yes> :-
              <P p {<X' Y Z'>}> AND
              <P p {<X'' Y'' leland>}>
```

$$(M3) [P \mapsto P, X' \mapsto X, Y \mapsto Y, Z' \mapsto \texttt{leland}, X'' \mapsto X, Y'' \mapsto Y]$$
$$(M4) [P \mapsto P, X \mapsto X'', Y \mapsto Y'']$$

Set Mappings The rewriting query may have to apply a "subobject membership" condition on a value variable. To handle this case, our mappings are extended to map a variable to a set pattern.

Example 3.2 Consider the query (Q5) and the view (V1). It is clear that Z' must bind to set values that contain a `<Z last stanford>` subobject. The algorithm captures this intuition by developing the mapping (M5) from the body of (V1) to the body of (Q5). Notice that Z' is mapped to `{<Z last stanford>}`.

```
(Q5) <f(P) stanford yes> :-
       <P p {<X Y {<Z last stanford>}>}>@db
(M5) [P' ↦ P, X' ↦ X, Y' ↦ Y,
      Z' ↦ {<Z last stanford>} ]
(Q6) <f(P) stanford yes> :-
       <g(P) p {<pp(P,Y) pr Y>
               <h(X) v {<Z last stanford>}>}>@V₁
```

(Q6) is the candidate query created from the head of (Q5) and the result of applying (M5) to the head of (V1). $\square$

Mappings are necessary but not sufficient for the existence of a rewriting query as the following example illustrates. That is why a containment test is needed, as in Step 2B of the algorithm.

Example 3.3 Consider query (Q7) and view (V1).

```
(Q7) <f(P) stanford yes> :-
       <P p {<X name {<Z last stanford>}>}>@db
```

Intuitively, there is no rewriting query for (Q7) because the view "loses" the correspondence between labels and values. Hence, if the database contains a **name** attribute and a value v containing the **<last stanford>** subobject it is impossible for the rewriting query to discover whether there is a **name** object with value v or **name** and v appear in different objects of the database. Notice that despite the non-existence of a rewriting query there is the mapping (M6). Based on this mapping the algorithm derives the candidate rewriting query (Q8). However, the composition of the candidate rewriting query with the view results in the query (Q9) which is not equivalent to the original query (Q7). Notice that **name** is the label of the object X' while **<last stanford>** is a subobject of another object X''.

```
(M6) [P' ↦ P, X' ↦ X, Y' ↦ name,
      Z' ↦ {<Z last stanford>} ]
```

```
(Q8) <f(P) stanford yes> :-
     <g(P) p {<pp(P,Y) pr name>
              <h(X) v {<Z last stanford>}>}>@V₁
(Q9) <f(P) stanford yes> :-
     <P p {<X' name Z'>}>@db AND
     <P p {<X'' Y'' {<Z last stanford>}>}>@db
```

□

As mentioned earlier, a formal treatment of mappings can be found in [31]. The following subsection extends the chase for set variables, which, as will see, is necessary to deal with the key dependency on object id. Subsection 3.3 discusses how the algorithm can exploit structural constraints, such as DTDs, that are known about source data. Subsection 3.4 presents a general algorithm for query rewriting.

3.2   Extending the chase for set variables

Object identity introduces a functional dependency in OEM (key dependency from the object id to the label and value). Moreover, structural constraints introduce functional dependencies, as we will see in the next subsection. The rewriting algorithm uses the chase technique [36] to deal with these dependencies. The technique has to be extended for the case of variables that can bind to sets. In what follows, we motivate the need for and present our extension to the chase,

presented for the case of key dependencies on object id. The extension applies in general to any functional dependency with value variables in the right hand side.

Example 3.4 Consider queries (Q10) and (Q11).

```
(Q10) <f(P) stan_student {<X Y Z>}> :-
        <P p {<U university stanford>}>@db
        AND <P p {<X Y Z>}>@db
(Q11) <f(P) stan_student V> :-
        <P p {<U university stanford>}>@db
        AND <P p V>@db
```

(Q11) is equivalent to (Q10), since **V** is a set variable. However, our algorithm, as described so far, will erroneously not discover a rewriting query because there is no mapping from the condition of (Q10) to the second condition of (Q11). Using the key dependency on object id, we can infer that **V** is a set variable and transform (Q11) to (Q10). Notice how the "set" variable is transformed into a set pattern. □

Recall that TSL queries are not allowed to contain cyclic object patterns. This is necessary for the described simple extension to the chase to terminate.

Chase extension for dependency on object id Let o_1, o_2 be object patterns of a query q with the same term in the object id field.

- If o_1 and o_2 have L_1, V_1 and L_2, V_2 in their label and value field respectively, then we replace all occurrences of L_2, V_2 in q with L_1, V_1 respectively.

- If o_1 has object patterns $\{o_i, \ldots, o_j\}$ in its value field and o_2 has V_2, then replace all occurrences of V_2 in q with $\{<X\ Y\ Z>\}$, where X, Y, Z are variables not appearing in q.

- If o_1 has $\{o_i, \ldots, o_j\}$ in its value field and o_2 has $\{c_k, \ldots, c_m\}$, replace the value fields of both o_1 and o_2 with $\{o_i, \ldots, o_j, c_k, \ldots, c_m\}$.

- If one of o_1, o_2 have a constant in one of the fields, and the other has a variable, replace all occurrences of that variable in q with the constant.

- If both o_1 and o_2 have constants in one of the fields, then, if the constants are different, halt with an error (this query cannot be chased to an equivalent query satisfying the object id key dependency). If the constants are the same, do nothing for this field.

- If o_2 is identical to o_1, drop o_2 from q.

In order to "chase" functional dependencies that do not involve value variables, we can use the "regular" chase rule.

3.3 Using structural constraints

Semistructured data are often accompanied by constraints that partially define the structure of objects. Such structural constraints can be expressed as a DTD, a DataGuide [16] or an XML-Data "schema". For instance, we could know that the data in source db in the previous examples conform to the following DTD:[8]

```
<!ELEMENT p (name, phone, address*)>
<!ELEMENT name (last, first, middle?, alias?)>
<!ELEMENT alias (last, first)>
<!ELEMENT address CDATA>
<!ELEMENT phone CDATA>
<!ELEMENT last CDATA>
<!ELEMENT first CDATA>
<!ELEMENT middle CDATA>
```

This DTD describes in a flexible way the structure of the source data. For example, it specifies that objects labeled p (as in person) have exactly one subobject each with labels name and phone, and zero or more address subobjects. It also specifies that subobjects phone and address are atomic. Given such a DTD, we can infer information in the form of dependencies between labels or object ids, that will allow the rewriting algorithm to discover rewritings in cases where it would have otherwise failed.

Example 3.5 Given the above DTD, we can infer automatically that in db the only subobject of a p object with a last subobject is a name object. Therefore Y'' of (Q9) (in Example 3.3) has to be name. Moreover, there exists a "labeled" functional dependency from object id P with label p to object id X with label name, since according to the DTD a p object has exactly one name subobject. This implies that X'' has to be X' (by application of the *chase* rule). Therefore (Q9) can be rewritten as

```
(Q12) <f(P) stanford yes> :-
      <P p {<X' name Z'>}>@db AND
      <P p {<X' name {<Z last stanford>}>}>@db
```

Finally, we chase the dependency on P using the chase extension described previously to derive (Q13). It should be obvious that (Q13) is equivalent to (Q7), and therefore a valid rewriting query.

```
(Q13) <f(P) stanford yes> :-
          <P p {<X' name {<Z last stanford>
                          <A B C>}>}>@db
```
□

---

[8] Since OEM does not support order, we ignore the order in the DTD description as well.

As illustrated in the previous example, we identify two cases where information can easily be inferred from a structural description, such as a DTD, or an XML-Data "schema":

label inference Given a "path expression" of labels a.?.c, if the structural constraint specifies that the only subobject of an a object with a c subobject is a b subobject, we can infer that ? = b.

functional dependency If the structural constraint specifies that objects labeled a have only one subobject labeled b, we can infer that given a pattern

$$<X_a \text{ a } \{< Y_b \text{ b } V> \}>$$

the functional dependency $X_a \rightarrow Y_b$ holds.

The rewriting algorithm takes advantage of this information by performing label inference and the chase on the query, the views and the candidate queries, again as illustrated in Example 3.5. It is straightforward to show that applying label inference and the chase always terminates in time polynomial to the length of the queries and the constraints description. Moreover, it is easy to show that label inference and the chase do not affect the soundness of the rewriting algorithm.

In the presence of structural constraints, there is clearly more opportunity for query simplification and query rewriting. This is the subject of future work.

3.4 Rewriting Algorithm

We now give the algorithm for the general case of the query rewriting problem. In what follows, the bodies of the query Q and the views in $\mathcal{V}$ are converted into *normal form* and label inference and the chase are applied before we apply the algorithm.

Input: A TSL query Q with k single path conditions in the body and a set of TSL views $\mathcal{V} = \{V_1, \ldots, V_n\}$.

Output: A set of rewriting queries.

Step 1A: Find the mappings θ_{i_j} from the body of each $V_i \in \mathcal{V}$ to the body of Q using a mapping discovery algorithm [31].

Step 1B: Construct candidate rewriting queries Q'
- $head(Q')$ is $head(Q)$
- $body(Q')$ is any conjunction of l conditions, $1 \leq l \leq k$, where each condition is either a view "instantiation" $\theta_{i_j}(head(V_i))$ or a condition of Q. *If* the resulting query is unsafe, then continue with next candidate.

Step 1C: Perform label inference and chase Q'.

Step 2: Test whether each constructed Q' is correct.
- Construct the composition $Q'(V_1, \ldots, V_n)$ of Q' with $V_1, \ldots, V_n$. See [31] for the details of the

composition algorithm.

- Perform label inference and chase $Q'(V_1, \ldots, V_n)$.
- If $Q'(V_1, \ldots, V_n)$ is equivalent to Q (see Section 4) then include Q' in the output; else continue with the next candidate.

Notice that the above algorithm constructs and tests all candidate queries (in Step 1B). The efficiency of the algorithm can be substantially improved with the use of simple heuristics. A particularly effective heuristic is the following:

- keep track of which conditions of the query body each instantiated view $\theta_{i_j}(head(V_i))$ maps into. These are the conditions that are "covered" by $\theta_{i_j}(head(V_i))$.

- only construct candidate queries Q' such that the views and conditions in the body of Q' "cover" all the conditions in the body of Q.

A variation of the above heuristic is implemented in the capability-based rewriting module of the TSIMMIS system [25].

The next subsection describes the equivalence test for TSL queries, which is an essential part of Step 2 of the above algorithm.

4   Equivalence of TSL queries

Two queries Q_1, Q_2 are equivalent *if and only if* for all OEM databases D, their results $Q_1(D)$ and $Q_2(D)$ are equivalent. In this section, we will develop a compile-time test of equivalence of TSL queries, based on an extension of containment mappings [7]. We assume that the chase has already been applied to the queries.

The problem of TSL equivalence is complicated because of the restructuring capabilities of TSL: query heads construct arbitrary answer graphs and different rules can contribute different parts of the same answer graph. Hence we need to make sure that all the components of the result graph are the same. The required decomposition is in the same spirit as normal form decomposition for query bodies (see Section 2), but it has to go one step further by decomposing a TSL query into finer-grain rules. In [31] we show that normal form decomposition does *not* allow us to determine equivalence of TSL queries.

We decompose a TSL query into *graph component* queries that correspond to the components of the result graph: edges, nodes and *root*, i.e., top-level objects.[9] Every TSL rule Q is decomposed into three types of finer grain rules:

---

[9] Recall that OEM graphs are rooted.

- one `top` rule corresponding to the top level condition of the head of Q (this query corresponds to the *root* of the OEM graph constructed by the head of Q)

- as many `member` rules as there are object-subobject relationships in the head of Q (these queries correspond to the *edges* of the OEM graph constructed by the head of Q, and specify their start and end objects) and

- one `object` type rules as object conditions in the query head of Q (corresponding to the *objects* of the OEM graph constructed by the head of Q and describing their labels and values).

The decomposition is illustrated by the following example. The reduced rules are essentially TSL: set values are allowed in the object "predicates". Note that `member` and `top` "predicates" depart from TSL syntax to emphasize the connection to Datalog [28].

Example 4.1 Consider the following query:

(Q14) <l(X) l {<f(Y) m {<n(Z) n V>}>}> :-
                 <X a {<Y b {<Z c V>}>}>

Its decomposition in graph component queries is as follows:

```
top(l(X)) :- <X a {<Y b {<Z c V>}>}>
member(l(X),f(Y)) :- <X a {<Y b {<Z c V>}>}>
member(f(Y),n(Z)) :- <X a {<Y b {<Z c V>}>}>
<l(X) l {}> :- <X a {<Y b {<Z c V>}>}>
<f(Y) m {}> :- <X a {<Y b {<Z c V>}>}>
<n(Z) n V> :- <X a {<Y b {<Z c V>}>}>
```

□

The condition for equivalence of the resulting graph component queries is easily derived:

Theorem 4.2 Two sets $S_1 = \{P_1, \ldots, P_n\}$ and $S_2 = \{T_1, \ldots, T_m\}$ of graph component queries are equivalent if and only if for each P_i there exists a *mapping* to it from some T_j and for each T_i there exists a mapping to it from some P_j.

The proof of Theorem 4.2 is a generalization of the containment theorem for unions of relational conjunctive queries with object ids [33, 18]. Moreover, the following theorem holds:

Theorem 4.3 (TSL query equivalence) Two TSL queries are equivalent if and only if their decompositions into graph component queries are equivalent.

From the above, it is straightforward to derive a simple equivalence test for TSL queries.

5   Completeness and Complexity

The soundness of the algorithm described in Section 3.4 is established by its second step, that checks the correctness of the rewriting. We will now prove the completeness of the algorithm, i.e., we will show that it always finds a rewriting query if one exists. For this proof, we assume that there are no structural constraints, and therefore no functional dependencies except the key dependencies on object id. In the presence of arbitrary functional dependencies, such as the ones that can be inferred from structural constraints, it is easy to show that our rewriting algorithm is not complete (see [10] for a simple counterexample for the case of relational query rewriting).

To prove the completeness of the algorithm, we first observe that if there is no mapping from a view body to the query body, then the view is not "relevant" to the query.

Lemma 5.1 Let Q and V be TSL queries. There is a rewriting query Q' of Q using view V only if there is a mapping from the body of V to the body of Q.

Moreover, we can bound both the number of conditions and the variables appearing in the rewriting.

Lemma 5.2 Let Q be a TSL query and $\mathcal{V}$ be a set of TSL views. If there exists a rewriting of Q using $\mathcal{V}$, then there exists such a rewriting consisting of at most k view heads, where k is the number of *single path* conditions in the body of the query.[10]

Lemma 5.3 If there exists a rewriting of query Q using the set of views $\mathcal{V}$, then there exists a rewriting of Q using $\mathcal{V}$ that doesn't use variables that don't exist in Q.

The above lemmata demonstrate that the theory of relational query rewriting, presented in [20], can be generalized for TSL. Notice that Lemmata 5.2 and 5.3 hold in the presence of the key dependencies on object id. Intuitively, our algorithm is complete because no additional functional dependencies can be inferred from the object-id key dependencies. By using disjoint sets of object id and other variables, a condition such as `<X Y {<Y Z W>}>`, which implies the extra functional dependency from `X` to `Z` and `W`, is disallowed.

The following lemma justifies why completeness is not compromised by only constructing rewriting queries Q' that have a head identical to the head of the query Q. Notice, this is an issue that is particular to semistructured and nested models while it is trivial in the relational model (Q' must have a head identical, up to variable renaming, to the head of Q).

---

[10]Notice that, since view heads do not have to be single path, the number of single paths in the rewriting *can* be greater than k.

Lemma 5.4 If there exists a valid rewriting query Q'' such that $head(Q'')$ is not the same as $head(Q)$, then there exists a valid rewriting query Q' such that $head(Q') = head(Q)$.

To see that Lemma 5.4 holds, notice that if there exists such a query Q'', then we can always apply our rewriting algorithm to it, to derive a query Q' equivalent to Q'' (and therefore to Q) whose head is identical to the head of Q.

Theorem 5.5 The rewriting algorithm of subsection 3.4 is sound and complete.

Proof: *(Sketch)* The algorithm is obviously sound, because its last step is a correctness test. It is complete because of the above lemmata, because the query composition algorithm is correct [28], and finally because the rewriting algorithm exhaustively searches the space of rewritings defined by the above lemmata. □

5.1   Complexity of TSL rewriting

The algorithm described in Section 3.4 takes exponential time. First, *Step 1* can generate an exponential in the size of the view bodies number of mappings. Then *Step 2* can generate an exponential number of candidate rewritings. Finally, it is proven in [31] that the construction of $Q'(V_1, \ldots, V_n)$ using a query composition algorithm takes exponential time.

6   Related work

TSL is derived from the Mediator Specification Language (MSL) [29]. MSL is a more general language that allows arbitrary restructurings of source data. Because of its additional restructuring power, MSL (as well as StruQL, which has the same restructuring capabilities) is not closed under query composition. This significantly reduces the applicability of the rewriting algorithm.

The problem of query rewriting for conjunctive relational views is discussed, among others, in [20, 10] and for recursive queries (but not recursive views) in [9]. The problem of query equivalence for relational languages with object ids has been studied in [18]. Our notion of query equivalence corresponds, in the terminology of [18], to *exposed equivalence*.

The TSL rewriting problem cannot be reduced to the well-understood relational conjunctive query rewriting problem. Given a reduction of semistructured data to relations, such as the one presented in [28], TSL queries and views are reduced to Datalog with function symbols and with a limited form of recursion,[11] hence making inapplicable the conjunctive query rewriting results.

---

[11]As described in detail in [28].

The special form of the restricted recursion in TSL leads to decidability and complexity results which are known not to hold for general recursive Datalog programs [9].

There is little work on the problem of rewriting semistructured queries using views [14, 5]. In [14], the related problem of query containment in StruQL (a semistructured language similar to TSL and MSL) is addressed. The paper deals with queries and views containing "wildcards" and regular path expressions, but it does not deal with the restructuring capabilities of the StruQL language. Recently, [5] proposed an elegant solution to the problem of rewriting a regular expression in terms of other regular expressions. The problem is closely related to the problem of rewriting semistructured queries using views, but the solution is applicable to a narrow class of queries and views, the ones that consist of only one regular path expression and return its "endpoints".

Our work is also related to the problem of object oriented query rewriting. Previous work on the problem of containment and equivalence of object oriented queries [6, 23] relies on the existence of a static class hierarchy. Work on the problem of containment of queries on complex objects has been presented recently in [24].

Finally, there has been some recent work on using structural information about a semistructured source (such as graph schemas [3] or DTDs) in query processing [12, 32].

OEM variants and rewriting A popular variant of the original OEM data model (used in this paper) that has been proposed in the literature [26] makes labels a property of the edges instead of the nodes of the graph (see Figure 3). The techniques and algorithms described in this paper apply with little change to this version of the data model; small changes are also necessary to the language syntax, of course. One noteworthy difference is that the only implicit functional dependency present in this variant of OEM is *object id* to *value* of an object.

Isomorphism In the OEM data model every node of the semistructured graph has an object identity — unlike [4] and [24]. Furthermore, we require that the original and the rewritten queries compute identical graphs (i.e., same object ids) as opposed to graphs equivalent under bisimulation [4] or isomorphism. Following the isomorphism approach, two OEM databases D_1 and D_2 would be equivalent if for every object x_1 of D_1 we can find an object x_2 of D_2 such that x_1 and x_2 have the same label, same value if atomic, or equivalent (i.e. isomorphic) sets of subobjects if they have set values. In this approach, we only care for the object-subobject re-

lationships the object ids create. For example, the URL names are not important; it is the hypertext structure created by the links that is important.

From the point of view of the rewriting algorithm it is not important whether the rewriting query Q' produces results identical to the original query Q or it produces isomorphic results. The reason is that we conjecture that if there is no rewriting query Q' with a result identical to Q then there is no rewriting query Q'' returning a result isomorphic to Q.

7   Conclusions and Future Work

We presented an algorithm that given a semistructured query q expressed in conjunctive TSL and a set of semistructured views $\mathcal{V}$, finds *rewriting* queries, i.e., queries that access the views and are equivalent to q. Our algorithm is based on appropriately generalizing *containment mappings*, the *chase*, and *composition*. The first step uses containment mappings to produce candidate rewriting queries. The second step composes each candidate rewriting query with the views and checks whether the composition is equivalent to the original query. Though the algorithm is similar to the one for the rewriting of conjunctive queries, there are many challenges stemming from the semistructured nature of the data and the queries. For example, the composition of the rewriting query and the views is harder (from a complexity point of view) because of the lack of schema and of the restructuring capabilities of TSL views. Moreover, we extend the algorithm to use structural constraints to discover rewritings in cases where, in the absence of constraints, there would be no rewritings.

We currently incorporate our algorithm into the TSIMMIS system for use as a capability based rewriter. We will soon adapt its interfaces to the TSIMMIS system so that it will be able to also serve as a rewriter of queries using cached views. Furthermore, we are working on extensions to the algorithm so that it can handle extensions to TSL, such as regular path expressions in the query body. Notice that in the presence of regular path expressions, the opportunities (and difficulties) presented by the existence of structural constraints such as DTDs are more significant.

We are also currently developing rewriting algorithms that, instead of generating equivalent rewriting queries, will generate *maximally contained* rewriting queries, in the spirit of [10, 9].

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